SEC Form 4
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## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL											
OMB Number:	3235-0287										

Estimated average burden hours per response: 0.5

1. Name and Address of Reporting Person <sup>*</sup> Grossman Adam S		Person*	2. Issuer Name <b>and</b> Ticker or Trading Symbol ADMA BIOLOGICS, INC. [ADMA]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
				X	Director	10% Owner			
(Last)	(First) (Middle)		One of Earliest Transaction (Month/Day/Year)	- x	Officer (give title below)	Other (specify below)			
C/O ADMA BIOLOGICS, INC.			08/10/2020		President and CEO				
465 STATE F	ROUTE 17								
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indi Line)	vidual or Joint/Group Fil	ing (Check Applicable			
RAMSEY	NJ	07446		X	Form filed by One Re	porting Person			
,					Form filed by More th Person	an One Reporting			
(City)	(State)	(Zip)							

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

		•	· · · ·								
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock	08/10/2020		Р		10	Α	\$3.06	166,108(1)	D		
Common Stock	08/10/2020		Р		2,990	A	\$3.07	169,098 <sup>(1)</sup>	D		
Common Stock	08/10/2020		Р		2,000	A	\$3.04	171,098(1)	D		
Common Stock	08/11/2020		Р		1,000	A	\$2.97	172,098 <sup>(1)</sup>	D		
Common Stock								878,444	I	See Footnote <sup>(2)</sup>	
Common Stock								580,957	I	See Footnote <sup>(3)</sup>	

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deriv Secu Acqu (A) o Dispo of (D (Insti	5. Number 5. Number 5. Date Exercisable and Expiration Date Securities Acquired A) or Disposed of (D) Instr. 3, 4 and 5)		ate Amount of			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

#### Explanation of Responses:

1. Includes 100,000 restricted stock units granted on February 28, 2020, which will vest quarterly on the date of grant, over four years, subject to the reporting person's continued service as of the applicable vesting date and will be settled into common stock upon vesting.

2. These shares are owned by Areth, LLC ("Areth"). The reporting person is a control person of Areth.

3. These shares are owned by Hariden, LLC ("Hariden"). The reporting person is the managing member of Hariden.

/s/ Adam S. Grossman, by Brian Lenz as Attorney-in-fact

08/12/2020

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.