## SEC Form 4

X

## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

EDELMAN JOSEPH

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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L	I nours per response.	0.0					

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> <u>PERCEPTIVE ADVISORS LLC</u>				2. Issuer Name <b>and</b> Ticker or Trading Symbol ADMA BIOLOGICS, INC. [ ADMA ]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner							
(Last) (First) (Middle) 51 ASTOR PLACE, 10TH FLOOR					3. Date of Earliest Transaction (Month/Day/Year) 09/28/2021								Officer (give title Other (specify below) below)						
(Street) NEW YORK NY 10003						4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person X Form filed by More than One Reporting Person					
(City)	(St		Zip)						<u> </u>					·		<u> </u>			
Table I - Non-Derivat       1. Title of Security (Instr. 3)     2. Transaction Date (Month/Day/Yet)					on 2A. D Exec (Year) if any		. Deemed ecution Date,		3. Transaction Code (Instr. 8)		4. Securities	, Of Benefic Acquired (A) or (D) (Instr. 3, 4 an		nd 5. Amoun Securities Beneficia Owned Fe		nt of s ally following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership
								Ī	Code V		Amount	(A) or (D)	Price		<ul> <li>Reported</li> <li>Transaction(s)</li> <li>(Instr. 3 and 4)</li> </ul>				(Instr. 4)
Common	Stock			09/28/20	2021				S		40,603	D	\$1.2	2 <sup>(1)</sup> 13,482		2,375		I	See Footnote <sup>(4)</sup>
Common	Stock			09/29/20	21				S		82,505	D	\$1.1	6 <sup>(2)</sup>	<sup>(2)</sup> 13,399,870			I	See Footnote <sup>(4)</sup>
Common	Stock			09/30/20	21				S		137,495	D	\$1.1	2 <sup>(3)</sup>	) 13,262,375			I	See Footnote <sup>(4)</sup>
		Ta	ble II -								posed of, convertib				Owneo	d			
1. Title of Derivative Security (Instr. 3)					Date	7. Title Amou Secur Under Deriva Secur 3 and	nt of ities lying ative ity (Instr 4)	Derivative de Security Se (Instr. 5) Be Re Re Tr (In		derivative G Securities F Beneficially G Owned G		10. Ownersl Form: Direct (E or Indire (I) (Instr.	Beneficial Ownershi ct (Instr. 4)						
					Code	v	(A)	(D)	Date	cisable	Expiration Date	Title	Amoun or Numbe of Shares	r					
		Reporting Person*									-			_		I			
PERCH	<u>EPTIVE A</u>	ADVISORS I	<u>LLC</u>			_													
(Last) 51 ASTO		(First) , 10TH FLOOR	(Mi	ddle)															
(Street) NEW Y	ORK	NY	100	003															
(City)		(State)	(Zip	o)															
	EPTIVE I	FREPORTING PERSON <sup>*</sup>		<u>IASTEI</u>	<u>२</u>														
(Last) 51 AST		(First) , 10TH FLOOR	(Mi	ddle)															
(Street) NEW Y(	ORK	NY	100	003															
(City)		(State)	(Zip	ס)															
1. Name ai	nd Address of	Reporting Person*	*																

(Last)	(First)	(Middle)
51 ASTOR PLA		
(Street) NEW YORK	NY	10003
(City)	(State)	(Zip)

## Explanation of Responses:

1. The reported price is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$1.21 to \$1.23, inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within such range.

2. The reported price is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$1.15 to \$1.17, inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within such range.

3. The reported price is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$1.11 to \$1.15, inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within such range.

4. The securities are directly held by Perceptive Life Sciences Master Fund Ltd. (the "Master Fund"). Perceptive Advisors LLC (the "Advisor") serves as the investment manager of Master Fund. Joseph Edelman is the managing member of the Advisor. Each of Mr. Edelman and the Advisor disclaims, for purposes of Section 16 of the Securities Exchange Act of 1934, beneficial ownership of such securities, except to the extent of his/its indirect pecuniary interest therein, and this report shall not be deemed an admission that either Mr. Edelman or the Advisor is the beneficial owner of such securities for purposes of Section 16 or for any other purposes.

 /s/ Joseph Edelman - for

 Perceptive Life Sciences

 Master Fund Ltd., By:

 Perceptive Advisors LLC, its
 09/30/2021

 investment manager, By:

 Joseph Edelman, its managing

 member

 /s/ Joseph Edelman - for

 Perceptive Advisors LLC, By:

 09/30/2021

Joseph Edelman, its managing member

<u>/s/ Joseph Edelman</u>

09/30/2021 Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.