SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APP	ROVAL				
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

to Sec obligat	this box if no lo tion 16. Form 4 tions may conti ction 1(b).	or Form 5	ST		pursu	uant to S	ection 16(a	a) of the	Secu	rities Exchang	e Act of		RSHIP	Es	MB Numb atimated a urs per re	average bu	3235-0287 rden 0.5
1. Name and Address of Reporting Person* <u>PERCEPTIVE ADVISORS LLC</u>				2. Issuer Name and Ticker or Trading Symbol <u>ADMA BIOLOGICS, INC.</u> [ADMA]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner						
(Last) 51 AST	(Fii OR PLACE,	rst) (I , 10TH FLOOR	(Middle)			3. Date of Earliest Transaction (Month/Day/Year) 08/17/2021							Officer (give title Other (specify below) below)				
(Street) NEW YO (City)			0003 Zip)		4. If Amendment, Date of Original Filed (Month/Day/Year)					6. Lii	Individual or Joint/Group Filing (Check Applicable e) Form filed by One Reporting Person X Form filed by More than One Reporting Person						
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																
1. Title of Security (Instr. 3)			2. Transaction Date (Month/Day/Year)		Execution Date,		Transaction Disposed Of Code (Instr. 5)		s Acquired (A) o f (D) (Instr. 3, 4 a		5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Direct Indirect str. 4)	7. Nature of Indirect Beneficial Ownership		
								Code	v	Amount	(A) or (D)	Price	Transact (Instr. 3 a	tion(s)			(Instr. 4)
Common	Stock			08/17/20	21			s		879,092	D	\$1.35	¹⁾ 15,70	5,710			See Footnote ⁽⁴⁾
Common	Stock			08/18/20	21			s		552,380	D	\$1.29	²⁾ 15,15	3,330			See Footnote ⁽⁴⁾
Common	Stock			08/19/20	21			S		350,352	D	\$1.24	³⁾ 14,80	2,978			See Footnote ⁽⁴⁾
		Tal	ble II							posed of, convertib				d			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Exec if any	eemed ution Date,	4. Trans	saction e (Instr.	5. Numbe of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	r 6. Da Expir (Mon		rcisable and Date	7. Title Amoun Securit Underly Derivat	and at of ties ying tive ty (Instr.	8. Price of Derivative Security (Instr. 5)	9. Numb derivativ Securiti Benefici Owned Followir Reporte Transac (Instr. 4)	ve es ially ng ed ction(s)	10. Ownershi Form: Direct (D) or Indirec (I) (Instr. 4	t (Instr. 4)

Date Exercisable

(D)

(A)

Expiration Date

Amount or Number of Shares

Title

		Code	v

1. Name and Address of Reporting Person* PERCEPTIVE ADVISORS LLC

<u>I EKCELTIVE NDVISOKS EEC</u>						
(Last)	(First)	(Middle)				
51 ASTOR PLACE	E, 10TH FLOOR					
(Street)						
NEW YORK	NY	10003				
(City)	(State)	(Zip)				
1. Name and Address of <u>PERCEPTIVE</u> <u>FUND LTD</u>	of Reporting Person [*] LIFE SCIENCE	<u>S MASTER</u>				
(Last)	(First)	(Middle)				
51 ASTOR PLACE, 10TH FLOOR						
(Street)						
NEW YORK	NY	10003				
(City)	(State)	(Zip)				
1. Name and Address of Reporting Person* EDELMAN JOSEPH						

(Last)	(First)	(Middle)					
51 ASTOR PLACE, 10TH FLOOR							
(Street) NEW YORK	NY	10003					
(City)	(State)	(Zip)					

Explanation of Responses:

1. The reported price is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$1.33 to \$1.41, inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within such range.

2. The reported price is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$1.26 to \$1.33, inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within such range.

3. The reported price is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$1.22 to \$1.27, inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within such range.

4. The securities are directly held by Perceptive Life Sciences Master Fund Ltd. (the "Master Fund"). Perceptive Advisors LLC (the "Advisor") serves as the investment manager of Master Fund. Joseph Edelman is the managing member of the Advisor. Each of Mr. Edelman and the Advisor disclaims, for purposes of Section 16 of the Securities Exchange Act of 1934, beneficial ownership of such securities, except to the extent of his/its indirect pecuniary interest therein, and this report shall not be deemed an admission that either Mr. Edelman or the Advisor is the beneficial owner of such securities for purposes of Section 16 or for any other purposes.

 /s/ Joseph Edelman - for

 Perceptive Life Sciences

 Master Fund Ltd., By:

 Perceptive Advisors LLC, its
 08/19/2021

 investment manager, By:

 Joseph Edelman, its managing

 member

 /s/ Joseph Edelman - for

 Perceptive Advisors LLC, By:

 08/19/2021

Joseph Edelman, its managing 08/19/20 member

<u>/s/ Joseph Edelman</u>

08/19/2021 Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.