SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL OMB Number: STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

3235-0287 Estimated average burden hours per response 05

Instruction 1(b).			Filed pursuant to Section 16(a) of the Securities Exchange Act of 19	34				
			or Section 30(h) of the Investment Company Act of 1940					
	ldress of Reporting awrence P.	Person*	2. Issuer Name and Ticker or Trading Symbol <u>ADMA BIOLOGICS, INC.</u> [ADMA]	5. Relationship of Reporting Po (Check all applicable) X Director	10% Owner			
(Last) (First) C/O ADMA BIOLOGIC		(Middle) NC.	3. Date of Earliest Transaction (Month/Day/Year) 02/28/2020	Officer (give title below)	Other (specify below)			
465 STATE F	ROUTE 17		4. If Amendment, Date of Original Filed (Month/Day/Year) 03/03/2020	6. Individual or Joint/Group Filing (Check Applicable Line)				
RAMSEY	NJ	07446		X Form filed by One Re Form filed by More th Person				
(City)	(State)	(Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	 Reported Transaction(s) (Instr. 3 and 4) 		(1150. 4)
Common Stock	02/28/2020		A		7 , 500 ⁽¹⁾	Α	\$ <mark>0</mark>	77 ,5 00 ⁽²⁾	D	
Common Stock								1,000	Ι	See Footnote ⁽³⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date	3A. Deemed Execution Date,				mber	6. Date Exercisable and Expiration Date			e and Int of	8. Price of Derivative	9. Number of derivative	10. Ownership	11. Nature of Indirect
Security (Instr. 3)	or Exercise Price of Derivative Security	(Month/Day/Year)	if any (Month/Day/Year)	Code (8)		Deriv Secu Acqu (A) o Dispo of (D (Insti	(Month/Day/Year) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			Securities Underlying Derivative Security (Instr. 3 and 4)		Security (Instr. 5)	Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. These shares represent restricted stock units ("RSUs"). Subsequent to the filing of the initial Form 4 by the Reporting Person on March 3, 2020, the Board of Directors of the Issuer corrected the vesting schedule of the RSUs. The RSUs will vest in two equal installments, on the six- and 12-month anniversaries of the grant, becoming fully vested on the one-year anniversary of the grant, subject to the reporting person's continued service as of the applicable vesting date, and will be settled into common stock upon vesting.

2. Includes (i) 7,500 RSUs granted on February 28, 2020 and reported on this Form 4, subject to vesting as set forth in footnote (1) and will be settled into common stock upon vesting; and (ii) 70,000 shares of common stock owned by the reporting person.

3. These shares are owned by the Guiheen Trust, of which Mr. Guiheen serves as a joint trustee.

/s/ Lawrence P. Guiheen, by 05/01/2020

Brian Lenz as Attorney-in-fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See