SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Instruction 1(b).			Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934							
	· · ·		or Section 30(h) of the Investment Company Act of 1940							
1. Name and Ad Grossman	dress of Reporting <mark>Jerrold B</mark>	g Person [*]	2. Issuer Name and Ticker or Trading Symbol <u>ADMA BIOLOGICS, INC.</u> [ADMA]	5. Relationship o (Check all applic X Director	able)	erson(s) to Issuer 10% Owner				
	(First) BIOLOGICS, I	(Middle) NC.	3. Date of Earliest Transaction (Month/Day/Year) 10/25/2021	Officer (below)	give title	Other (specify below)				
465 STATE ROUTE 17			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or J Line)	6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street)				X Form fil	ed by One Re	porting Person				
RAMSEY	NJ	07446		Form fil Person	ed by More th	an One Reporting				
(City)	(State)	(Zip)								

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	10/25/2021		Р		100,000(1)	Α	\$ <mark>1</mark>	260,864	D	
Common Stock								22,857	Ι	See Footnote ⁽²⁾
Common Stock								31,381	I	See Footnote ⁽³⁾
Common Stock								38,294	Ι	See Footnote ⁽⁴⁾
Common Stock								175	Ι	See Footnote ⁽⁵⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative 2. 3. Transaction Date 3A. Deemed Execution Date, 4				113, 01												
					4. Transaction Code (Instr. 8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents a purchase from the underwriters in the October 2021 public offering of the issuer.

2. These shares are owned by Brookwood LLC ("Brookwood"). The reporting person is the managing member of Brookwood.

3. These shares are owned by the Jerrold Grossman 2019 Irrevocable Trust, of which Dr. Grossman serves as investment trustee.

4. These shares are owned by Genesis Foundation Inc. ("Genesis"). The reporting person is the President of Genesis.

5. These shares are owned by the reporting person's wife.

/s/ Dr. Jerrold B. Grossman, by Brian Lenz as Attorney-in- 10/25/2021

fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See